

企业气候行动指数 CATI (Climate Action Transparency Index)

一级指标	二级指标	三级指标	分值
1. 治理机制	1.1 政策宣示	1.1.1 已做出气候行动的承诺，发布气候宣言	1
		1.1.2 制定碳中和配套政策	2
		1.1.3 专门针对供应链减排制定具体政策 (如要求供应商设定减排目标、发布排放信息、追踪进展等)	2
		1.1.4 制定低碳消费引导政策	1
	1.2 机制建设	1.2.1 将气候变化纳入商业决策并具有针对气候相关的风险管理程序	1
		1.2.2 将气候相关议题纳入董事会(最高决策层)监督职责	2
		1.2.3 将气候变化纳入供应商筛选管理机制中	2
		1.2.4 财务激励供应商减排	2
2. 测算披露	2.1 范围 1+2	2.1.1 测算并披露温室气体排放总量	2
		2.1.2 测算并披露范围 1 排放量	1
		2.1.3 测算并披露范围 2 排放量	1
		2.1.4 碳排放量经过第三方核证(或尝试通过数字化方式核验数据准确性)	2
		2.1.5 测算并披露碳强度(单位产品碳排放或单位产值碳排放)	2
		2.1.6 测算并披露综合能耗(总能耗或范围 1+2 能耗)	1
		2.1.7 测算并披露能效(单位产品能耗或单位产值能耗)	1
		2.1.8 测算并披露能源使用情况(分品类消耗量, 如, 耗电量等)	1
		2.1.9 披露碳配额/抵消等信息(如, 可再生能源使用、购买的绿证/绿电情况)	1
	2.2 范围 3	2.2.1 测算并披露范围 3 排放量	1
		2.2.2 测算并披露供应链排放量	1
		2.2.3 收集的供应链数据能够覆盖到热点供应商(热点供应商, 即供应链中的最大排放源)	1
		2.2.4 收集可获取的供应商的量超过 40%	1
		2.2.5 收集可获取的供应商的量高达 80%	2

		2.2.6 每年至少收集一次供应商排放数据	1
		2.2.7 每季度至少收集一次供应商排放数据	1
3. 目标与绩效	3.1 范围 1+2 目标	3.1.1 设定并披露正在执行的减排目标	5
		3.1.2 经科学碳目标 (Science-Based Targets initiative, SBTi) 批准	2
		3.1.3 设定并披露碳中和目标	2
		3.1.4 设定并披露可再生能源目标	2
	3.2 范围 3 目标	3.2.1 设定并披露正在执行的减排目标	3
		3.2.2 设定并披露碳中和目标	3
		3.2.3 设定并披露独立的供应链减排目标	3
		3.2.4 设定并披露的目标涵盖: 推动在华供应商设定减排目标 (如, 推动 100 家或 80% 的在华供应商设定减排目标)	3
	3.3 范围 1+2 目标绩效	3.3.1 披露减排目标的完成进度	1
		3.3.2 披露碳中和目标的进展	1
		3.3.3 披露可再生能源目标的完成进度	1
	3.4 范围 3 目标绩效	3.4.1 披露减排目标的完成进度	1
		3.4.2 披露碳中和目标的进展	1
		3.4.3 披露供应链减排目标的完成进度	1
3.4.4 通过 PRTR 跟进供应商目标的设定进展		3	
4. 减排行动	4.1 自身规划	4.1.1 开展低碳类项目并公开项目减排量	1
		4.1.2 开展低碳类项目并公开项目减排量 (覆盖范围 1+2 排放量的 10% 以上)	1
		4.1.3 开展低碳类项目并公开项目减排量 (覆盖范围 1+2 排放量的 40% 以上)	1
		4.1.4 开展能效提升项目并公开项目减排量	1
		4.1.5 开展能效提升项目并公开项目减排量 (覆盖范围 1+2 排放量的 10% 以上)	1
		4.1.6 开展能效提升项目并公开项目减排量 (覆盖范围 1+2 排放量的 40% 以上)	1
		4.1.7 开展其他类型减排项目并公开项目减排量	1
		4.1.8 开展其他类型减排项目并公开项目减排量 (覆盖范围 1+2 排放量的 10% 以上)	1

		4.1.9 开展其他类型减排项目并公开项目减排量（覆盖范围 1+2 排放量的 40%以上）	2
4.2 供应链 管理		4.2.1 通过绩效评估推动供应商开展减排行动	2
		4.2.2 通过赋能推动供应商开展减排行动	1
		4.2.3 通过鼓励创新推动供应商开展减排行动	1
		4.2.4 与试点供应商合作开展减排项目并公布项目减排量	1
		4.2.5 与试点供应商合作开展减排项目并公布项目减排量（供应商参与度 10%以上）	1
		4.2.6 开展规模化减排项目并公布项目减排量（供应商参与度 40%以上）	2
		4.2.7 每年发布供应商减排最佳案例（如，IPE 品牌故事）	2
4.3 供应链 排放数据 测算与披露		4.3.1 推动供应商核算并发布自身年度排放数据	1
		4.3.2 推动 10%以上的供应商核算并发布自身年度排放数据	1
		4.3.3 推动 40%以上的供应商核算并发布自身年度排放数据	2
		4.3.4 推动供应商设定并发布自身目标与进展	1
		4.3.5 推动 10%以上的供应商设定并发布自身目标与进展	1
		4.3.6 推动 40%以上的供应商设定并发布自身目标与进展	2
		4.3.7 推动间接热点供应商核算并发布自身年度排放数据	1
		4.3.8 推动 10%以上的间接热点供应商核算并发布自身年度排放数据	2
		4.3.9 推动 40%以上的间接热点供应商核算并发布自身年度排放数据	3
		4.3.10 推动间接热点供应商设定并发布自身目标与进展	1
		4.3.11 推动 10%以上的间接热点供应商设定并发布自身目标与进展	2
		4.3.12 推动 40%以上的间接热点供应商设定并发布自身目标与进展	3
		4.3.13 通过蔚蓝生态链赋能上游供应商开展供应链碳管理	5

评分中，由于不同行业直接和间接的热点排放源不同，一级指标中的排放信息（20分）、目标与绩效（32分）、减排行动（45分）依据不同行业参考值或实际排放权重折算¹。CATI 指数评价的 20 个行业权重参考值如下：

行业	权重
零售	范围 1+2 vs. 范围 3 = 20%:80%
IT	范围 1+2 vs. 范围 3 = 20%:80%
家电	范围 1+2 vs. 范围 3 = 30%:70%
纺织	范围 1+2 vs. 范围 3 = 20%:80%
皮革	范围 1+2 vs. 范围 3 = 20%:80%
食品饮料	范围 1+2 vs. 范围 3 = 20%:80%
乳制品	范围 1+2 vs. 范围 3 = 20%:80%
啤酒	范围 1+2 vs. 范围 3 = 20%:80%
白酒	范围 1+2 vs. 范围 3 = 20%:80%
纸业	范围 1+2 vs. 范围 3 = 30%:70%
制药	范围 1+2 vs. 范围 3 = 20%:80%
日化	范围 1+2 vs. 范围 3 = 20%:80%
汽车	范围 1+2 vs. 范围 3 = 30%:70%
汽车零部件	范围 1+2 vs. 范围 3 = 20%:80%
自行车/助力车	范围 1+2 vs. 范围 3 = 20%:80%
多元化	范围 1+2 vs. 范围 3 = 30%:70%
化工	范围 1+2 vs. 范围 3 = 30%:70%
环保产业	范围 1+2 vs. 范围 3 = 40%:60%
房地产	范围 1+2 vs. 范围 3 = 20%:80%
家装	范围 1+2 vs. 范围 3 = 20%:80%

¹ 折算系数参考近期研究报告及领先品牌披露的温室气体排放清单

Climate Action Transparency Index (CATI)

Section		Indicator	Score	
1. Governance	1.1 Pledged policies	1.1.1 Company has committed to climate actions and made a climate declaration	1	
		1.1.2 Developed carbon neutrality policies	2	
		1.1.3 Published specific policies to promote supply chain emissions reductions (for instance, established requirements for suppliers to set emissions reductions targets, to disclose emissions information regularly, etc.)	2	
		1.1.4 Developed policies to guide low-carbon consumption	1	
	1.2 Mechanism construction	1.2.1 Company has integrated climate-related issues into its business strategy and has specific climate-related risk management procedures	1	
		1.2.2 Climate-related issues receive board-level oversight	2	
		1.2.3 Climate-related issues are included in supplier qualification/management mechanism	2	
		1.2.4 Company offers financial incentives for suppliers to reduce emissions	2	
	2. Measurement & Disclosure	2.1 Scope 1 + 2 emissions	2.1.1 Company has publicly disclosed its total scope 1 and 2 GHG emissions	2
			2.1.2 Company has publicly disclosed its scope 1 emissions	1
2.1.3 Company has publicly disclosed its scope 2 emissions			1	
2.1.4 Provides third party verification/assurance (or has attempted to verify the accuracy of its emissions through digital mode)			2	
2.1.5 Reports its carbon intensity (metric tons CO ₂ e per unit of production or metric tons CO ₂ e per unit revenue)			2	
2.1.6 Reports its energy consumption (total energy consumption or Scope 1 + 2 energy consumption)			1	
2.1.7 Reports its energy efficiency (energy consumption per unit of production or energy consumption per unit revenue)			1	
2.1.8 Reports its energy use (energy consumption by categories , e.g. electricity consumption, etc.)			1	
2.1.9 Discloses its information on carbon allowances/offsets (e.g. renewable energy usage, Green Certificate/Green Power purchased)			1	

	2.2 Scope 3 emissions	2.2.1 Company has publicly disclosed its total Scope 3 emissions	1
		2.2.2 Company has publicly disclosed supply chain emissions (from purchased goods and services)	1
		2.2.3 Company has identified the largest sources of emissions across the supply chain (carbon hotspots) and has started to collect emissions data from carbon hotspot suppliers	1
		2.2.4 Company collects GHG emissions information from more than 40% of suppliers	1
		2.2.5 Company collects GHG emissions information from more than 80% of suppliers	2
		2.2.6 Company collects GHG emissions information at least annually from suppliers	1
		2.2.7 Company collects GHG emissions information at least quarterly from suppliers	1
3. Targets & Performance	3.1 Scope 1+2 targets	3.1.1 Company has publicly disclosed its ongoing emissions reduction targets	5
		3.1.2 Targets are approved by the Science-Based Targets initiative (SBTi)	2
		3.1.3 Company has publicly disclosed its carbon neutrality target	2
		3.1.4 Company has publicly disclosed its renewable energy target	2
	3.2 Scope 3 targets	3.2.1 Company has publicly disclosed its ongoing emissions reduction targets	3
		3.2.2 Company has publicly disclosed its carbon neutrality target	3
		3.2.3 Company has publicly disclosed its supply chain emissions reduction targets	3
		3.2.4 Sets specific objectives on promoting suppliers in China to set emissions reduction targets (e.g. push 100 suppliers or 80% of the suppliers in China to set emissions reduction targets)	3
	3.3 Performance against Scope 1+2 targets	3.3.1 Company has reported on progress made against its emissions reduction targets	1
		3.3.2 Company has reported on progress made against its carbon neutrality target	1
		3.3.3 Company has reported on progress made against its renewable energy target	1
	3.4 Performance against Scope 3 targets	3.4.1 Company has reported on progress made against its emissions reduction targets	1
		3.4.2 Company has reported on progress made against its carbon neutrality target	1
		3.4.3 Company has reported on progress made against its supply chain emissions reduction targets	1
		3.4.4 Followed up on supplier targets setting progress through public reporting in IPE's PRTR program	3

4. Climate Action	4.1 Owned operation management	4.1.1 Company has implemented low-carbon energy initiatives and disclosed associated emissions reductions for its Scope 1 and 2 emissions	1
		4.1.2 Implemented low-carbon energy initiatives and disclosed associated emissions reductions (covered more than 10% of Scope 1+2 emissions)	1
		4.1.3 Implemented low-carbon energy initiatives and disclosed associated emissions reductions (covered more than 40% of Scope 1+2 emissions)	1
		4.1.4 Implemented energy efficiency initiatives and disclosed associated emissions reductions for its Scope 1 and 2 emissions	1
		4.1.5 Implemented energy efficiency initiatives and disclosed associated emissions reductions (covered more than 10% of Scope 1+2 emissions)	1
		4.1.6 Implemented energy efficiency initiatives and disclosed associated emissions reductions (covered more than 40% of Scope 1+2 emissions)	1
		4.1.7 Implemented other emissions reduction initiatives and disclosed associated emissions reductions for its Scope 1 and 2 emissions	1
		4.1.8 Implemented other emissions reduction initiatives and disclosed associated emissions reductions (covered more than 10% of Scope 1+2 emissions)	1
		4.1.9 Implemented other emissions reduction initiatives and disclosed associated emissions reductions (covered more than 40% of Scope 1+2 emissions)	2
	4.2 Supply chain management	4.2.1 Company has integrated climate change is into supplier evaluation processes	2
		4.2.2 Climate change is included in supplier training and capacity building	1
		4.2.3 Climate change is promoted as an area for innovation for suppliers	1
		4.2.4 Company has launched emissions reduction initiatives in cooperation with pilot suppliers and disclosed associated emissions reductions	1
		4.2.5 Company has launched emissions reduction initiatives in cooperation with pilot suppliers and disclosed associated emissions reductions (more than 10% participation)	1
		4.2.6 Company has launched large-scale emissions reduction initiatives and disclosed associated emission reductions (more than 40% participation)	2
		4.2.7 Company has published best practices in supply chain GHG reduction initiatives annually (e.g. IPE Brand Story)	2
	4.3	4.3.1 Company requires suppliers to calculate and disclose their GHG emissions annually	1
		4.3.2 Company requires suppliers to calculate and disclose their GHG emissions annually (more than 10%)	1

Supply chain emissions disclosure	4.3.3 Company requires suppliers to calculate and disclose their GHG emissions annually (more than 40%)	2
	4.3.4 Company requires suppliers to set and disclose their own emissions reduction targets and performance against targets	1
	4.3.5 Company requires suppliers to set and disclose their own emissions reduction targets and performance against targets (more than 10%)	1
	4.3.6 Company requires suppliers to set and disclose their own emissions reduction targets and performance against targets (more than 40%)	2
	4.3.7 Company requires indirect carbon hotspot suppliers upstream in supply chain to calculate and disclose their GHG emissions annually	1
	4.3.8 Company requires indirect carbon hotspot suppliers upstream in supply chain to calculate and disclose their GHG emissions annually (more than 10%)	2
	4.3.9 Company requires indirect carbon hotspot suppliers upstream in supply chain to calculate and disclose their GHG emissions annually (more than 40%)	3
	4.3.10 Company requires indirect carbon hotspot suppliers upstream in supply chain to set and disclose their emissions reduction targets and performance against targets	1
	4.3.11 Company requires indirect carbon hotspot suppliers upstream in supply chain to set and disclose their emissions reduction targets and performance against targets (more than 10%)	2
	4.3.12 Company requires indirect carbon hotspot suppliers upstream in supply chain to set and disclose their emissions reduction targets and performance against targets (more than 40%)	3
	4.3.13 Company employs the Blue EcoChain tool to empower upstream suppliers on supply chain emissions management	5

As carbon hotspots vary from industry to industry, a weighting factor applies across three of the four CATI activity areas: Measurement & Disclosure, Targets & Performance, and Climate Action. The default weighting factors for each industry are shown as below².

Industry	Weighting factor
Retail	Scope 1+2 vs. Scope 3 = 20%:80%
IT	Scope 1+2 vs. Scope 3 = 20%:80%
Household Appliances	Scope 1+2 vs. Scope 3 = 30%:70%
Textile	Scope 1+2 vs. Scope 3 = 20%:80%
Leather & PU	Scope 1+2 vs. Scope 3 = 20%:80%
Food and Beverage	Scope 1+2 vs. Scope 3 = 20%:80%
Diary	Scope 1+2 vs. Scope 3 = 20%:80%
Brewing	Scope 1+2 vs. Scope 3 = 20%:80%
Liquor	Scope 1+2 vs. Scope 3 = 20%:80%
Paper	Scope 1+2 vs. Scope 3 = 30%:70%
Pharmaceuticals	Scope 1+2 vs. Scope 3 = 20%:80%
Household & Personal Care	Scope 1+2 vs. Scope 3 = 20%:80%
Automobile	Scope 1+2 vs. Scope 3 = 30%:70%
Auto parts	Scope 1+2 vs. Scope 3 = 20%:80%
Bicycle/Moped	Scope 1+2 vs. Scope 3 = 20%:80%
Diversified	Scope 1+2 vs. Scope 3 = 30%:70%
Industrial Chemicals	Scope 1+2 vs. Scope 3 = 30%:70%
Environment and Waste Management	Scope 1+2 vs. Scope 3 = 20%:80%
Real Estate	Scope 1+2 vs. Scope 3 = 20%:80%
Interior Decoration	Scope 1+2 vs. Scope 3 = 20%:80%

English Translation Accuracy Disclaimer: This document has been translated by IPE for the purposes of reference only. If any questions arise related to the accuracy of the information contained in this translation, please refer to the Chinese version of the document, which is the official version of the document. Any discrepancies or differences created in the translation are not binding and have no legal effect for compliance or enforcement purposes.

² This ratio consults recent reports and GHG inventory publicly disclosed by leading brands